



Miners in DRC's South Kivu province. Moses Sawasawa



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Peace and Security in the Great Lakes Region

Supporting the ICGLR and its Member
States to Improve Governance of their
Mineral Resources

January 2024





Peace and Security in the Great Lakes Region

Phase II of the EU's regional Action on Peace and Security in the GL (2023-2027):

Consists of two **Specific Objectives (SO)**:

1. Enhancing the effectiveness of ICGLR action to prevent and resolve conflicts in the Great Lakes Region (EJVM, EW, mediation-focused crisis management)
2. Improving the control of the mineral sectors in ICGLR Member States.

On behalf of the EU in support of the ICGLR, **IMPACT** :

- Coordinates the overall Action (SO 1 and 2) AND Implements SO 2

Specific Objective 2 (Mineral Governance):

- Co-designed to support activities foreseen in ICGLR's 2022-26 Strategic Plan
- Budget: 7.1 million € (\$7.5 million USD)
- 48 months (Nov. 15 2023 to Nov. 14 2027)



SO2 Mineral Governance: Overall Objective

To contribute to the consolidation of peace, security, and mineral resource governance in the GLR.

This will be achieved by enhancing the capacity of the ICGLR and its Member States (MS) to:

- 1) control artisanal mineral resources, including environmental and social impacts with a particular attention to women and youth;
- 2) apply strategies and best practice models related to artisanal gold exports;
- 3) adopt improved Regional Certification Mechanism (RCM) systems and procedures.



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IMPACT

*Formerly Partnership Africa Canada (PAC)
Established in 1986; co-founder of Kimberley Process
Partner to the ICGLR since its establishment*

MISSION

We transform how natural resources are managed in areas where security and human rights are at risk. We investigate and develop approaches for natural resources to improve security, development, and equality. We are an independent non-profit, collaborating with local partners for lasting change.

VISION

We envision a world where resources contribute to equitable peace and development, and where communities are empowered to decide how their natural resources are managed.

AREAS OF FOCUS

Supply chain transparency; Regulatory & legal reform; Illicit trade & financing; Gender equality; Environmental stewardship;

<https://impacttransform.org/en/>





Project Intervention Logic

The **Intervention Logic** underpinning the project presumes that the control of the mineral sectors in ICGLR Member States (MS) can be improved by updating and strengthening the Regional Initiative on Natural Resources (RINR), by focusing on gold export regimes and by digitizing Regional Certification Mechanism (RCM) systems and procedures in line with evolving international due diligence standards



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Peace and Security in the Great Lakes Region

LOGIC MODEL SUMMARY

OUR ULTIMATE IMPACT

Mineral resources governance in the Great Lakes Region is improved, contributing to the consolidation of peace and security in the Great Lakes region of Africa.

OUTCOMES

ACTIVITIES



Control of artisanal mineral resources

- Updated RINR
- Enhanced capacity of Technical Unit to support Member States to monitor, evaluate and mitigate the environmental and social impacts of ASM
- Enhanced capacity of Technical Unit to support Member States to develop harmonized ASM strategies that contribute to economic development and national economies



Best practices for artisanal gold exports

- Enhanced capacity of Technical Unit to support Member States to acquire knowledge on strategies and best practice models to reform gold export regimes in alignment with the ICGLR ASM gold strategy
- Enhanced capacity of Technical Unit to support Member States' adoption of strategies and best practice models linked to the reform of gold export regimes



Regional Certification Mechanism procedures

- Enhanced capacity of Technical Unit to support Member States' adoption of simplified, more efficient and cost effective RCM systems and procedures that meet market expectations
- Enhanced capacity of Technical Unit to effectively support in-region traceability, due diligence, monitoring and data sharing systems and practices

- Validation and endorsement of revised RINR
- Model law developed and validated
- Strategy for ratification of revised RINR by Member States
- Implementation Guidance for revised RINR
- Sensitization on Formalization Guidance and its implementation
- Analysis and training on in-region value addition
- Evidence-based knowledge sharing meetings
- Monitoring tools to evaluate progress and impact
- Consultations, workshops, meetings

- Identification of best practices for gold export
- Training on best practices for controlling gold flows
- Engagement with upstream private sector actors on implementation of ASM gold strategy
- Engagement with international trading hubs
- Guide for supply chain actors on their role in implementing the RCM and RINR
- Consultations, workshops, and meetings

- Data compatibility review
- RCM benchmarking and plan of action
- Capacity building to enhance ICGLR Regional Database
- Data security and sharing protocols
- Training on data analysis and reporting
- Assessment on supporting RCM conformant artisanal gold chain of custody systems
- Alignment assessments
- Engagement with international market actors
- Consultations, workshops, and meetings





Control of Artisanal Mineral Resources



Outcomes

- Updated RINR
- Enhanced capacity of Technical Unit to support Member States to monitor, evaluate and mitigate the environmental and social impacts of ASM
- Enhanced capacity of Technical Unit to support Member States to develop harmonized ASM strategies that contribute to economic development and national economies

Activities

- Validation and endorsement of revised RINR
- Model law updated and validated
- Strategy for ratification of revised RINR by Member States
- Implementation Guidance for revised RINR
- Sensitization on Formalization Guidance and its implementation
- Analysis and training on in-region value addition
- Evidence-based knowledge sharing meetings
- Monitoring tools to evaluate progress and impact
- Consultations, workshops, meetings



Best Practices for Artisanal Gold Exports



Outcomes

- Enhanced capacity of Technical Unit to support Member States to acquire knowledge on strategies and best practice models to reform gold export regimes
- Enhanced capacity of Technical Unit to support Member States' adoption of strategies and best practice models linked to the reform of gold export regimes

Activities

- Identification of best practices for gold export
- Training on best practices for controlling gold flows
- Engagement with upstream private sector actors on implementation of ASM gold strategy
- Engagement with international trading hubs
- Guide for supply chain actors on their role in implementing the RCM and RINR
- Consultations, workshops, and meetings



Regional Certification Mechanism Procedures



Outcomes

- Enhanced capacity of Technical Unit to support Member States' adoption of simplified, more efficient, and cost-effective RCM systems and procedures that meet market expectations
- Enhanced capacity of Technical Unit to effectively support in-region traceability, due diligence, monitoring and data sharing systems and practices

Activities

- Data compatibility review
- RCM benchmarking and plan of action
- Capacity building to enhance ICGLR Regional Database
- Data security and sharing protocols
- Training on data analysis and reporting
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